


File

	US DOT #: 00108029	LEGAL: SCHWANS SALES ENTERPRISES INC
		OPERATING (DBA): SCHWANS

REVIEW TYPE: CR	PHYSICAL ADDRESS: 801 Industrial Park Rd
STATUS: Update	COUNTY: 009 Littleton, NH, 03561
PLACE: Terminal	MAILING ADDRESS: 801 Industrial Park Rd
CENSUS TYPE: Carrier	COUNTY: 009 Littleton, NH, 03561
BUSINESS: Corporation	PHONE: (603)444-7926 TOLL FREE: FAX #: (603)444-0718
	E-MAIL:

MC/MX #:	FEDERAL TAX ID #:			
OPERATION TYPE	INTERSTATE	INTRASTATE	OIC: 33	TERRITORY:
CARRIER OPERATION:	Non-HM	Non-HM		
SHIPPER OPERATION:	N/A	N/A		

CARRIER CLASSIFICATION: (C)  
Private(Property)

CARGO CLASSIFICATION: (R, W, X)  
Meat; Refrigerated Foods; Beverages

EQUIPMENT:	TRUCK	TRUCK TRACTOR	TRAILER	HM TANK TRUCK	HM TANK TRAILER	MOTOR COACH	SCHOOL BUS	LIMO	PASS. VAN
OWNED	9								
TERM LEASED									
TRIP LEASED									

DRIVERS:	INTER	INTRA	Avg. Trip Leased Drivers/Mo.:	Does carrier transport placardable quantities of HM?
<100 Miles:	2	8	Total Drivers: 10	No
>= 100 Miles:			CDL Drivers: 7	

QUESTIONS regarding this report or the Federal Motor Carrier Safety or Hazardous Materials rules may be addressed to: FMCSA,  
70 Commercial Street, Suite 102 Concord, NH 03301  
603-228-3112

**This TERMINAL REVIEW deals only with safety compliance at this terminal.**

PERSON(S) INTERVIEWED:	John Harrison	Charles Murray
TITLE(S):	Sales Manager	Zone Manager
REPORTED BY:	<i>Ray J. Roberts</i>	TITLE: <i>Officer</i>
		CODE: NH0009
RECEIVED BY:	<i>John Harrison</i>	TITLE: <i>SALES MANAGER</i>
		DATE: 06/09/2003



**PART B**

1 FEDERAL	PRIMARY: 391.25(a)	<b>NUMBER FOUND</b>	<b>NUMBER CHECKED</b>	<b>DRIVERS/VEHICLES</b>	
		1	5	<b>IN VIOL</b>	<b>CHKD</b>
				1	5

**DESCRIPTION:**

Failing to make an inquiry into the driving record of each driver to the appropriate State agencies in which the driver held a commercial motor vehicle operator's license at least once every 12 months.

**EXAMPLE:**

Driver b6,b7c hired b6,b7c trip date of 4/15/03.

2 FEDERAL	PRIMARY: 395.8(e)	<b>NUMBER FOUND</b>	<b>NUMBER CHECKED</b>	<b>DRIVERS/VEHICLES</b>	
		1	150	<b>IN VIOL</b>	<b>CHKD</b>
				1	5

**DESCRIPTION:**

False reports of records of duty status.

**EXAMPLE:**

b6,b7c worked (not driving) 4/25/03, time record reflected not working.

3 FEDERAL	PRIMARY: 396.3(a)(1)	<b>NUMBER FOUND</b>	<b>NUMBER CHECKED</b>	<b>DRIVERS/VEHICLES</b>	
		2	5	<b>IN VIOL</b>	<b>CHKD</b>
				2	5

**DESCRIPTION:**

Failing to inspect and maintain vehicle to ensure safe and proper operating condition.

**EXAMPLE:**

2 of the 5 trucks inspected had out of service conditions at the time of the compliance review.

truck # 3450 used 6/3/03.

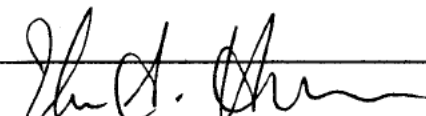
4 FEDERAL	PRIMARY: 396.11(c)(1)	<b>NUMBER FOUND</b>	<b>NUMBER CHECKED</b>	<b>DRIVERS/VEHICLES</b>	
		1	64	<b>IN VIOL</b>	<b>CHKD</b>
				1	5

**DESCRIPTION:**

Failing to certify that repairs were made or were not necessary.

**EXAMPLE:**

Daily vehicle inspection report, defect noted and no corresponding mechanic's signature.

**RECEIVED BY:**  **TITLE:** SALES MANAGER



**COMPLIANCE** SCHWANS (SCHWANS SALES ENTERPRISES INC dba)

**DATE:** 06/09/2003

**REVIEW** USDOT: 00108029

**PAGE:** 2

**PART B**

Truck # 6739 used by Kerry Jackman on 4/15/03.

**SAFETY FITNESS RATING INFORMATION:**

**Total Miles Operated:** 175,000  
**Recordable Crashes** 0

**OOS Vehicles (CR) :** 2  
**# of Vehicles Inspected (CR) :** 5  
**OOS Vehicles (MCMIS) :**  
**# of Vehicles Inspected (MCMIS) :**

This Review is not Rated.

**RECEIVED BY:**

**TITLE:**

*SALES MANAGER*



COMPLIANCE SCHWANS (SCHWANS SALES ENTERPRISES INC dba)

DATE: 06/09/2003

REVIEW USDOT: 00108029

PAGE: 1

**Requirements and/or Recommendations**

- 1 Obtain a copy of each driver's driving record and review it annually.
  
- 2 Review with your drivers periodically the procedures for doing pre-trip and post-trip inspections. Ensure that safety defects reported by drivers on their Vehicle Inspection Reports (VIR) are repaired before the vehicle is redispached. Require drivers to prepare Vehicle Inspection Reports on a daily basis. Keep them on file for 90 days.
  
- 3 Within 15 days, send a letter to our office describing what actions you have taken in response to this review to ensure you are complying with the Federal Motor Carrier Safety Regulations. Send to: State Director Bruce Holmes

FMCSA  
70 Commercial Street, Suite 102  
Concord, NH 03301

RECEIVED BY:

TITLE:

*SALES MANAGER*



INTRA-AGENCY SCHWANS (SCHWANS SALES ENTERPRISES INC dba)

DATE: 06/09/2003

MEMORANDUM USDOT: 00108029

PAGE: 1

PART C

Reason for Review: Other complaint

Planned Action: Compliance Monitoring

Parts Reviewed Certification (C = Reviewed, N = Not Reviewed)

325	382	383	387	390	391	392	393	395	396	397	398	399	171	172	173	177	178	180
N	C	C	N	C	C	C	C	C	C	N	N	N	N	N	N	N	N	N

Prior Reviews

Prosecutions

Followup

Special Studies

State

1)

Gross Revenue: \$0 For Year Ending:

Unsat/Unfit Information

Does Passenger Vehicle transport more than 15 passengers, including driver?

Does carrier transport placardable quantities of HM?

Unsat/Unfit Rule: 4. 60-Day - no Interstate Passengers or Placardable HM

Remarks:

This compliance review was assigned to me by Sgt. Steven Hanley of the NH Highway Patrol as result of a complaint to our Bureau. As noted a driver relayed concerns to us about the amount of hours worked by drivers at Schwan's at the Littleton Depot. On May 29, 2003 I went to the Schwan's Depot in Littleton and found no one at the building. I left my business card in the mail box requesting a call from a Manager. On Friday May 30, 2003 I contacted the 1-800 number listing in the phone book for Schwan's to request contact with a Manager. I was given and connected to the office of Peter Percy 724-743-3087 who is the regional Safety Manager for the Northeast region of Schwan's. I left a voice mail message for Mr. Percy indicating my request to conduct a terminal compliance review at the Littleton Depot on 6/4/03.

Ultimately I spoke with PERCY on 6/3/03 and confirmed the appointment for 6/4/03 in Littleton. I was advised I would meet with the on-site manager, John Harrison and the Zone Operations Manager Charles Murray. I indicated that I would need review records, apparently the only records not kept on site are driver files. PERCY indicated that copies would be forwarded for my visit for 5 of the 10 drivers per my request. I also requested that 5 front line ready trucks be made available for inspection. I would note that I did not pull a carrier profile. I pulled recent inspections done on the company in NH and found none in the last 12 months.

On 6/4/03 Officer Croteau of the Highway Patrol arrived shortly after 0700 hours and began the level 5 inspections for the review. Copies of his inspections are enclosed, noting 2 of the 5 trucks had out of service conditions discovered. The trucks were placed out of service.

I met with HARRISON and MURRAY at 0830 to begin the compliance review. The company refers to the separate facilities as Depots, Littleton is one of the two (Concord is the other) in NH. The company provides home service for food and beverage products. The drivers are paid on a commission basis and are assigned areas in which they develop there own customers and service customers who contact Schwan's via phone. The products are loaded at night at the Depot and the drivers given a route sheet of stops via an electronic system. The drivers record all activities by hand held computer terminal. They arrive at the terminal and activate the device which starts the time record. They use the device for sales records and driving time. As noted 9 trucks and 10 drivers are assigned to this Depot. 7 drivers have CDL's and only two of the trucks require a CDL license. The on-site manager assigns vehicles to the appropriate drivers. Most of the time drivers use the same truck. Due to the



INTRA-AGENCY SCHWANS (SCHWANS SALES ENTERPRISES INC dba)

MEMORANDUM USDOT: 00108029

DATE: 06/09/2003

PAGE: 2

PART C

volume of sales some drivers share an area and truck.

Five fax copies of driver file records were given to me. They appeared to be in compliance with the only noted exception being the lack of an annual State Motor Vehicle record on the only driver of the five reviewed that was employed over one year. It does appear that NH's privacy laws slowed down the process for getting the annual records. It was explained to HARRISON how to obtain NH MVR in a timely fashion.

Officer Croteau assisted in reviewing the truck files, the five selected were the five inspected for the compliance review. They were generally found to be in compliance. In conjunction with driver files and truck files daily vehicle inspection reports were reviewed. Drivers are filling out the reports but only one of the reports reviewed reflected any defects. The report noted as a violation failed to have a mechanic's signature reflecting the correction (brake/directional lamp). Also the maintenance file did not reflect the repair. The truck repairs are done by a local vendor, Arnold's Automotive Inc. of Littleton, NH. Upon discussion of this violation MURRAY explained that drivers will often replace their own bulbs, provided at the Depot. It would appear the repair was done and not recorded. The truck repairs and lubrication schedules are verified by the truck maintenance files. The general maintenance violation noted was arrived at as a result of the out of service conditions on the trucks inspected. Some VCR tracking suggestions were discussed and ultimately implemented upon my return to close out. Also suggestions were made that drivers receive training on inspecting and recording daily vehicle inspections to prevent trucks with defects from being driven.

I reviewed the regulations regarding the hours of service requirements for these drivers. The company claims the driver-salesman exemption. The areas reviewed are the definition under 395.2, Also 395.1 (c) exempts driver-salesman from 395.3(b), the 60/70 hour rule. Additionally the company also uses the 100 air mile exemption under 395.1 (e) which exempts driver salesman from 395.1 (e) (2) the 12 hour requirement. It is my opinion that the drivers employed here do meet the definition of a driver-salesman. Included with my original assignment was a copy of daily work summary from the electronic hand held device used by the drivers. One will note the employee b6,b7c Upon viewing the driver/employee list it was determined to be b6,b7c The time records for b6,b7c b6,b7c were obtained for May, 2003. In comparing them to the provided records they did match. It became apparent that handwritten notes made on the daily time sheet provided had added the driving time and the duty time in error. The duty time on the record is inclusive of the driving time. In all the time records requested and reviewed it was apparent that driver drove about 1/4 to 1/3 of the time recorded and not more than 40 hours in any given week. One 23:05 hour day was recorded for driver b6,b7c on 4/14/03. HARRISON explained that if a driver forgets to "clock out" at the end of a shift the time runs until the next contact. HARRISON produced records of a correction for b6,b7c of that day disciplining b6,b7c for the failure to "clock-off". It was clear b6,b7c did not work 23:05 hours. The noted violation for no time record also involved b6,b7c. A VCR was located for b6,b7c for 4/25/03 and the electronic time record showed not working. Upon speaking with HARRISON he explained that driver b6,b7c b6,b7c is the b6,b7c On 4/25/03 b6,b7c went with her b6,b7c to help b6,b7c build his route". Because all activity for the day went to b6,b7c there was no working record for b6,b7c. It was explained that this is duty time for b6,b7c and must be recorded. HARRISON indicated that it was unusual for that to happen but he would in the future use a hand written time record to augment the electronic record.

It was apparent that most records are kept electronically and a system for flagging hours violations is in place. Electronic computer records were provided showing drivers in a drug and alcohol testing program. A fax letter from AMY SIMON, Compliance Manager, Schwan's was provided as cover including the vendor name of WPCI and the contact person and number for verification. Also an electronic accident register was forwarded. No accidents are recorded for this Depot.

It is apparent to me that the driver that contacted us is not totally familiar with the hours requirements for them. I find no violations related to the complaint. As a practical matter this company and its drivers do not violate the 10 hour rule which is applicable. If 10 hour violations were apparent they probably would no longer meet the definition of a driver-salesman. They are exempted from the 60/70 hour restrictions. The 15 hour rule does apply and I found no time record any driver worked over 15 hours or drove after the 15 hour duty restriction.

Overall the operation attempts to run in compliance. I would describe the cooperation of HARRISON and MURRAY as very good. They both were able to obtain electronic and paper records and did so when requested. They both were open about how the Depot runs and I did not feel they were attempting to hide any information. This review will be reviewed with State Director Bruce Holmes and transferred to FMCSA for determination of any potential follow-up.



INTRA-AGENCY SCHWANS (SCHWANS SALES ENTERPRISES INC dba)

MEMORANDUM USDOT: 00108029

DATE: 06/09/2003

PAGE: 3

PART C

Principal Reviewer Signature <i>Ray I. Roberts</i>	Code No. NH0009	Upload Authorized: <u>YES</u> NO	Authorized by: <u>[Signature]</u>	Date: <u>7/3/03</u>
Assistant Reviewer Signature	Code No.	Uploaded: <u>YES</u> NO	Verified by: <u>LA</u>	Failure Code: Date: <u>Rejected 7/7/03</u>

State of New Hampshire  
Department of Safety  
Division of Motor Vehicles  
10 Hazen Drive, Room 107  
Concord, NH 03305

DRIVER VEHICLE EXAMINATION REPORT  
Report Number: NH0021900324  
Inspection Date: 06/04/2003  
Start Time: 6:59 AM End Time: 7:17 AM  
Insp. Level: 5-Terminal,

SCHWAN'S SALES ENTERPRISES INC  
600 MICHIGAN ROAD  
MARSHALL, MN 56258-1747  
Phone#: Fax#: USDOT#: 00108029 ICC#: State#:

Driver: License#: State: Date of Birth: CoDriver: License#: State: Date of Birth:

Location: LITTLETON  
Highway: AT THE TERMINAL  
County: GRAFTON

MilePost: Shipper: Bill of Lading: Origin: Destination: Cargo:

**VEHICLE IDENTIFICATION**

Unit	Type	Make	Year	State	License #	Company #	Vin #	GWR	CVSA #	OOS#
1	TR	GMC	1999	VT	ARY434	11039	1GDJ6H18XJ507004	17,200		

**BRAKE ADJUSTMENTS**

Axle #            1            2  
Right            N/A            N/A  
Left             N/A            N/A  
Chamber        HYDR        HYDR

**VIOLATIONS:** No Violations Were Discovered.

**Haz Mat:** No HM Transported.

Placard: No      Cargo Tank:

**Special Checks:** No Data for Special Checks.

I certify that the required repairs listed in the "Out-of-Service" Notice on this inspection sheet have been satisfactorily completed.

Signature Of Repairer X: \_\_\_\_\_ Facility: \_\_\_\_\_ Date: \_\_\_\_\_

Report Prepared By:  
RICHARD CROTEAU

Badge #:  
021  
021

Copy Received By:      Page 1 of 1

✓ Charles Murray



✓ *[Signature]*



State of New Hampshire  
Department of Safety  
Division of Motor Vehicles  
10 Hazen Drive, Room 107  
Concord, NH 03305

DRIVER VEHICLE EXAMINATION REPORT  
Report Number: NH0021900327  
Inspection Date: 06/04/2003  
Start Time: 8:22 AM End Time: 8:54 AM  
Insp. Level: 5-Terminal,

SCHWAN'S SALES ENTERPRISES INC  
600 MICHIGAN ROAD  
MARSHALL, MN 56258-1747  
Phone#: Fax#: USDOT#: 00108029 ICC#: State#:

Driver: License#: State: Date of Birth: CoDriver: License#: State: Date of Birth:

Location: LITTLETON  
Highway: AT THE TERMINAL  
County: GRAFTON

MilePost: Origin: Destination:

Shipper: Bill of Lading: Cargo:

**VEHICLE IDENTIFICATION**

Unit	Type	Make	Year	State	License #	Company #	Vin #	GVWR	CVSA #	OOS#
1	TR	GMC	1999	NH	AE2069		1GDJ6H1B8XJ509142	22		20586

**BRAKE ADJUSTMENTS**

Axle #	1	2
Right	N/A	N/A
Left	N/A	N/A
Chamber	HYDR	HYDR

**VIOLATIONS**

Section Code	St	Unit	OOS	Citation #	Verify	Violations Discovered
393.46(b)		1	Y		U	Brake connections with leaks/constrictions, Axle # 2, D/S leak at the brake caliper and the line connection.

Haz Mat: No HM Transported.

Placard: No Cargo Tank:

Special Checks: No Data for Special Checks.

Pursuant to NH Statutes, I hereby declare and mark the following vehicle(s) \*OUT SERVICE\* No person shall remove the herein-numbered sticker(s) or operate such vehicle(s) THAT HAVE BEEN MARKED WITH A \*Y\* IN THE OOS COLUMN IN THE VIOLATION(S) DISCOVERED SECTION OF THIS REPORT, until necessary repairs have been made and the vehicle(s) is/are restored to safe operating condition.

I certify that the required repairs listed in the "Out-of-Service" Notice on this inspection sheet have been satisfactorily completed.

Signature Of Repairer X: \_\_\_\_\_ Facility: \_\_\_\_\_ Date: \_\_\_\_\_

I/We certify that all violations noted on this report have been corrected and action has been taken to ensure compliance with the applicable safety regulations. All violations listed must be corrected before this vehicle is re-dispatched. The Motor Carrier MUST complete certification and return sheet within 15 days to: Motor Vehicle Inspector Unit, Division of Motor Vehicles, 10 Hazen Drive Room 107, Concord, NH 03305

Signature Of Motor Carrier X: \_\_\_\_\_ Date: \_\_\_\_\_

Report Prepared By:  
RICHARD CROTEAU

Badge #:  
021  
021

Copy Received By: Page 1 of 1

✓ Charles Murray



✓ [Signature]

State of New Hampshire  
Department of Safety  
Division of Motor Vehicles  
10 Hazen Drive, Room 107  
Concord, NH 03305

**DRIVER VEHICLE EXAMINATION REPORT**  
Report Number: NH0021900325  
Inspection Date: 06/04/2003  
Start Time: 7:23 AM End Time: 7:40 AM  
Insp. Level: 5-Terminal,

SCHWAN'S SALES ENTERPRISES INC  
600 MICHIGAN ROAD  
MARSHALL, MN 56258-1747  
Phone#: Fax#: USDOT#: 00108029 ICC#: State#:

Driver: License#: State: Date of Birth: CoDriver: License#: State: Date of Birth:

Location: LITTLETON  
Highway: AT THE TERMINAL  
County: GRAFTON

MilePost: Origin: Destination:

Shipper: Bill of Lading: Cargo:

**VEHICLE IDENTIFICATION**

Unit	Type	Make	Year	State	License #	Company #	Vin #	GVWR	CVSA #	OOS#
1	TR	GMC	1998	NH	AD3630	6811	1GDL7H1P4WJ506691	18,600		

**BRAKE ADJUSTMENTS**

Axle #	1	2
Right	N/A	N/A
Left	N/A	N/A
Chamber	HYDR	HYDR

**VIOLATIONS**

Section Code	St	Unit	OOS	Citation #	Verify	Violations Discovered
393.95(a)		1	N		N	No/discharged/unsecured fire extinguisher, (discharged fire extinguisher)

Haz Mat: No HM Transported.

Placard: No Cargo Tank:

Special Checks: No Data for Special Checks.

I certify that the required repairs listed in the "Out-of-Service" Notice on this inspection sheet have been satisfactorily completed.

Signature Of Repairer X: \_\_\_\_\_ Facility: \_\_\_\_\_ Date: \_\_\_\_\_

I/We certify that all violations noted on this report have been corrected and action has been taken to ensure compliance with the applicable safety regulations. All violations listed must be corrected before this vehicle is re-dispatched. The Motor Carrier MUST complete certification and return sheet within 15 days to: Motor Vehicle Inspector Unit, Division of Motor Vehicles, 10 Hazen Drive Room 107, Concord, NH 03305

Signature Of Motor Carrier X: \_\_\_\_\_ Date: \_\_\_\_\_

Report Prepared By:  
RICHARD CROTEAU  
v *[Signature]*

Badge #:  
021  
021

Copy Received By: Page 1 of 1  
v *Charles Murray*



State of New Hampshire  
Department of Safety  
Division of Motor Vehicles  
10 Hazen Drive, Room 107  
Concord, NH 03305

DRIVER VEHICLE EXAMINATION REPORT  
Report Number: NH0021900326  
Inspection Date: 06/04/2003  
Start Time: 7:46 AM End Time: 8:16 AM  
Insp. Level: 5-Terminal,

SCHWAN'S SALES ENTERPRISES INC  
600 MICHIGAN ROAD  
MARSHALL, MN 56258-1747  
Phone#: Fax#: USDOT#: 00108029 ICC#: State#:

Driver: License#: State: Date of Birth: CoDriver: License#: State: Date of Birth:

Location: LITTLETON  
Highway: AT THE TERMINAL  
County: GRAFTON

MilePost: Shipper: Bill of Lading: Origin: Cargo: Destination:

VEHICLE IDENTIFICATION

Unit	Type	Make	Year	State	License #	Company #	Vin #	GWWR	CVSA #	OOS#
1	TR	GMC	1997	NH	AE2097	3450	1GDL7H1P0VJ515757	27,100		20585

BRAKE ADJUSTMENTS

Axle #	1	2
Right	N/A	N/A
Left	N/A	N/A
Chamber	HYDR	HYDR

VIOLATIONS

Section Code	St	Unit	OOS	Citation #	Verify	Violations Discovered
393.209(d)		1	Y		U	Steering system components worn/welded/missng, with brake applied, steering effort is increased.
393.83(e)		1	N		N	Improper exhaust discharge (not rear of cab), leak at the muffler and missing the down spout.

Haz Mat: No HM Transported.

Placard: No Cargo Tank:

Special Checks: No Data for Special Checks.

Pursuant to NH Statutes. I hereby declare and mark the following vehicle(s) \*OUT SERVICE\* No person shall remove the herein-numbered sticker(s) or operate such vehicle(s) THAT HAVE BEEN MARKED WITH A \*Y\* IN THE OOS COLUMN IN THE VIOLATION(S) DISCOVERED SECTION OF THIS REPORT, until necessary repairs have been made and the vehicle(s) is/are restored to safe operating condition.

I certify that the required repairs listed in the "Out-of-Service" Notice on this inspection sheet have been satisfactorily completed.

Signature Of Repairer X: \_\_\_\_\_ Facility: \_\_\_\_\_ Date: \_\_\_\_\_

I/We certify that all violations noted on this report have been corrected and action has been taken to ensure compliance with the applicable safety regulations. All violations listed must be corrected before this vehicle is re-dispatched. The Motor Carrier MUST complete certification and return sheet within 15 days to: Motor Vehicle Inspector Unit, Division of Motor Vehicles, 10 Hazen Drive Room 107, Concord, NH 03305

Signature Of Motor Carrier X: \_\_\_\_\_ Date: \_\_\_\_\_

Report Prepared By:  
RICHARD CROTEAU

Badge #:

021  
021

Copy Received By: Page 1 of 1

✓ *Charles Murray*



NH0021900326

State of New Hampshire  
Department of Safety  
Division of Motor Vehicles  
10 Hazen Drive, Room 107  
Concord, NH 03305

**DRIVER VEHICLE EXAMINATION REPORT**  
Report Number: NH0021900328  
Inspection Date: 06/04/2003  
Start Time: 9:10 AM End Time: 9:24 AM  
Insp. Level: 5-Terminal,

SCHWAN'S SALES ENTERPRISES INC  
600 MICHIGAN ROAD  
MARSHALL, MN 56258-1747  
Phone#: \_\_\_\_\_ Fax#: \_\_\_\_\_  
USDOT#: 00108029 ICC#: \_\_\_\_\_  
State#: \_\_\_\_\_

Driver: \_\_\_\_\_ State: \_\_\_\_\_  
License#: \_\_\_\_\_  
Date of Birth: \_\_\_\_\_  
CoDriver: \_\_\_\_\_  
License#: \_\_\_\_\_ State: \_\_\_\_\_  
Date of Birth: \_\_\_\_\_

Location: LITTLETON  
Highway: AT THE TERMINAL  
County: GRAFTON

MilePost: \_\_\_\_\_ Shipper: \_\_\_\_\_  
Origin: \_\_\_\_\_ Bill of Lading: \_\_\_\_\_  
Destination: \_\_\_\_\_ Cargo: \_\_\_\_\_

**VEHICLE IDENTIFICATION**

Unit	Type	Make	Year	State	License #	Company #	Vin #	GVWR	CVSA #	OOS#
1	TR	GMC	1998	NH	AD3628	6739	1GDL7H1P2WJ519505	18,500		

**BRAKE ADJUSTMENTS**

Axle #	1	2
Right	N/A	N/A
Left	N/A	N/A
Chamber	HYDR	HYDR

**VIOLATIONS: No Violations Were Discovered.**

**Haz Mat: No HM Transported.** Placard: No Cargo Tank:

**Special Checks: No Data for Special Checks.**

I certify that the required repairs listed in the "Out-of-Service" Notice on this inspection sheet have been satisfactorily completed.

Signature Of Repairer X: \_\_\_\_\_ Facility: \_\_\_\_\_ Date: \_\_\_\_\_

Report Prepared By:  
RICHARD CROTEAU

Badge #:  
021  
021

Copy Received By: Page 1 of 1

✓ *Charles Murray*



✓ *Richard Croteau*



**SCHWAN'S**

June 16, 2003

To State Director Bruce Holmes:

As per Officer First Class Roy Roberts recommendations after his audit on May 28<sup>th</sup> 2003, the depot known as Littleton, NH of Schwans Sales Ent. Inc is incorporating the following procedures as part of it's operations.

1. We shall have made an inquiry into the driving record of each driver to the appropriate state agencies at least once every 12 months, as per violation #1.
2. We have implemented a paper log of every worker/driver not entered into a hand-held computer to help keep track of all on duty hours, as per violation #2.
3. We shall review periodically the procedures for doing pre-trip and post-trip inspections and insure that safety defects reported by drivers are repaired before the vehicle is allowed back on the road, as per violation #3.
4. Any defect noted on daily vehicle inspection report shall have corresponding mechanics signature. If the driver did repairs, as per violation #4, driver shall sign.

I would like to thank Officer First Class Roy Roberts for his professionalism during the audit and for helping make the Littleton NH depot keep a safer work environment.

Sincerely;

John S. Harrison  
Sales Manager  
Schwans Sales Ent, Inc.

\*\* LINDA \*\* Begin Confirming: M:\SAFETYNET 2000\CommIn\RD000060.ZIP at 07/07/2003 10:38:03

Review Confirmation

Prepared by: LINDA OfficeId: 1NHD001 on 07/07/2003 9:51:10 AM

Records Uploaded:	2
Records Rejected:	1
Records Accepted with Warnings:	1
Records Accepted without Warnings:	0
Total Records Accepted:	1

Confirmation Errors And Warnings:

ADDS/EDITS  
REJECTED

Report Number: HFY8X5NH009AA Report Date: 06/09/2003

USDOT Number: 00108029 State Census Number:

Carrier Name: SCHWANS SALES ENTERPRISES INC

FATAL Cannot change the Physical State  
 FATAL MCMIS Rating and Provisional Rating do not agree  
 FATAL MCMIS and Provisional Factor 6 Rating do not agree  
 FATAL Uploaded Name does not match name in database, verify and correct accordingly  
 WARNING Uploaded Tax ID blank, but a valid one exists in MCMIS, the existing Tax ID will not be overwritten, verify and correct accordingly

WARNING

Report Number: HH1JK6NH009AA Report Date: 06/27/2003

USDOT Number: 00666242 State Census Number:

Carrier Name: HENRY J FLANDERS

WARNING Uploaded Tax ID blank, but a valid one exists in MCMIS, the existing Tax ID will not be overwritten, verify and correct accordingly

The Following 1 Review(s) were accepted at MCMIS, with or without warning(s):

Review Date	USDOT Number	Carrier Name
06/27/2003	00666242	HENRY J FLANDERS

\*\* LINDA \*\* End Confirming: M:\SAFETYNET 2000\CommIn\RD000060.ZIP at 07/07/2003 10:38:03

\*\* LINDA \*\* Begin Upload File Preparation for 1 file types. at 07/07/2003 09:49:29  
Inspection Upload Prepare

Preparing Inspections for Upload  
Inspection US0865000034  
Inspection US0865000035  
Inspection US0865000036

Prepared 3 record(s) for upload.  
The data to be uploaded is in M:\SAFETYNET 2000\CommOut\IU000063.ZIP

\*\* LINDA \*\* End Upload File Preparation for 1 file types. at 07/07/2003 09:49:29

\*\* LINDA \*\* Begin Upload File Preparation for 1 file types. at 07/07/2003 09:49:21

\*\* LINDA \*\* Begin Complaint/Enforcement Follow Up Data Upload at 07/07/2003 09:49:22

Processing file: M:\SAFETYNET 2000\CommOut\PX000062.ZIP

The data to be uploaded is in M:\SAFETYNET 2000\CommOut\PX000062.ZIP.  
Prepared 37 record(s) for upload.

# Route Slip



**U.S. DEPARTMENT OF TRANSPORTATION  
FEDERAL MOTOR CARRIER SAFETY ADMINISTRATION**

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<b>To:</b>	<b>Date</b>	<b>Org/Rtg Symbol</b>
Dorothy Deem	July 8, 2003	

Attached is the Compliance Review for Schwans Sales Enterprises, Inc.

CR was rejected from SNET. (see attached confirmation)

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<b>From: Name</b>	<b>Telephone</b>	<b>Org/Rtg Symbol</b>
Bruce Holmes, Division Administrator, Federal Motor Carrier Safety Administration	(603) 228-3112	

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